

10/537730  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC20 Rec'd PCT/PTO 06 JUN 2005

APPLICANTS: Ernst BUTTLER 1 PCT  
PCT NO.: PCT/EP2003/012731 FILED: NOVEMBER 14, 2003  
PRIORITY: GERMAN NO. 102 56 864.2 FILED: DECEMBER 5, 2002  
TITLE: SUBMERGED RUN OF RIVER TURBINE

**INFORMATION DISCLOSURE STATEMENT**

**MAIL STOP PCT**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant is enclosing Form PTO-1449 disclosing the references cited in the International Search Report, copy enclosed. As it is believed that copies of the references cited in the Search Report were forwarded by the International Office, we are not enclosing copies of these references. The additional references were cited in the Specification on pages 1 and 2. Since the instant Information Disclosure Statement is being filed concurrently with the application, no official fee is required in connection with the same. It is respectfully requested that the foregoing Information Disclosure Statement be incorporated into the official file of the concurrently-filed PCT patent application.

Respectfully submitted,

Ernst BUTTLER

  
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Attorneys for Applicants

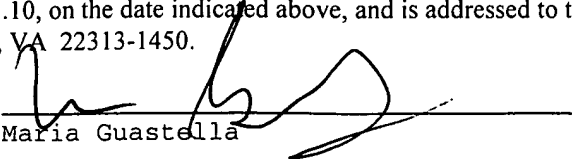
COLLARD & ROE, P.C.  
1077 Northern Boulevard  
Roslyn, New York 11576  
(516) 365-9802

Enclosures: PTO-1449 form and International Search Report  
and 3 References

**EXPRESS MAIL NO. EV 493841369 US**

**Date of Deposit June 6, 2005**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, on the date indicated above, and is addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Maria Guastella

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(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. :

BUTTLER 1 PCT

SERIAL NO.

10/537730

LIST OF REFERENCES CITED BY  
APPLICANT

(Use several sheets if necessary)

APPLICANT: Ernst BUTTLER

FILING DATE:

GROUP:

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4 868 408 A	9/1989	HESH			
	AB	4 095 918 A	6/1978	MOUTON JR., ET AL.			
	AC						
	AD						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	200 027 A	7/1923	GREAT BRITAIN				
	AM	647 287 C	7/1937	GERMANY				
	AN	WO 03/046375	6/2003	PCT				
	AO	WO 02/064974	8/2002	PCT				
✓	AP	41 12 730C2	8/1992	GERMANY (w/abstract in English)				
✓		200 11 874 U1	1/2001	GERMANY (discussed in spec)				
✓		299 00124	5/1999	GERMANY (discussed in spec)				

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR		
	AS		
	AT		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.